



Rocket No.: ASMEEX.320A

INFORMATION DISCLOSURE STATEMENT

Applicant : Pomerade et al.
App. No. : 10/074,722
Filed : February 11, 2002
For : INTEGRATION OF HIGH K GATE
DIELECTRIC
Examiner : Ron Everett Pompey
Group Art Unit : 2812

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing seven (7) references that are also enclosed.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(c)(2) before the mailing date of a final action and before the mailing of a Notice of Allowance. This Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 10/16/03

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By: Joseph J. Mallon

Joseph J. Mallon

Registration No. 39,287

Attorney of Record

Customer No. 20,995

(619) 235-8550

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
ASMEX.320AAPPLICATION NO.
10/074,722INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Pomerade et al.FILING DATE
February 11, 2002GROUP
2812

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
|---------------------|----|-----------------|----------|-----------------|-------|----------|---------------------------------|
| | 1. | 5,389,398 | 02/14/95 | Suzuki et al. | 427 | 130 | |
| | 2. | 5,698,771 | 12/16/97 | Shields et al. | 73 | 31.05 | |
| | 3. | 6,228,181 | 05/08/01 | Yamamoto et al. | 148 | 33.5 | |
| | 4. | 6,326,311 | 12/04/01 | Ueda et al. | 438 | 694 | |
| | 5. | 6,455,892 | 09/24/02 | Okuno et al. | 257 | 328 | |
| | 6. | 6,613,695 | 09/02/03 | Pomarede et al. | 438 | 767 | |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|---------------------|--|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
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OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

| EXAMINER INITIAL | | |
|---------------------|----|---|
| | 7. | Ikoma et al., Growth of Si/3C-SiC/Si(100) heterostructures by pulsed supersonic free jets, Applied Physics Letters, Volume 75, No. 25, Pp. 3977-3979, December 1999 |
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|---|-----------------|
| EXAMINER | DATE CONSIDERED |
| <p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p> | |



PATENT

Case Docket No. ASMEEX.320A
Date: October 16, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Pomerade et al.
Appl. No. : 10/074,722
Filed : February 11, 2002
For : INTEGRATION OF HIGH K
GATE DIELECTRIC
Examiner : Ron Everett Pompey
Group Art Unit : 2812

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

October 16, 2003

(Date)

Joseph J. Mallon
Joseph J. Mallon, Reg. No. 39,287

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with seven (7) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

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